

2134
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re Application of: Daniel Brown et al
U.S. Patent Serial No.: 09/800,168
Filing Date: March 5, 2001
Examiner: Jonathan R. Adams
Group No.: 2134
Confirmation No.: 3597
Title: *Computer Security System*

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Dear Sir:

<p>I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on <u>9-17-2004</u>.</p> <p><u>Edwin Barnes</u> Name</p> <p><u>9-17-2004</u> Date of Signature</p>

**REQUEST FOR CONSIDERATION OF AN INFORMATION
DISCLOSURE STATEMENT TIMELY FILED**

For reasons set forth below, it is respectfully requested that the PTO file for this application be promptly forwarded to Examiner Jonathan R. Adams, for confirmation that the references listed on the PTO-1449 forms attached to the Information Disclosure Statements filed on the following dates have been considered: July 18, 2001 and January 8, 2002.

On the dates listed in the first paragraph on page 1 of this document, Applicants filed Information Disclosure Statements (IDS) with PTO-1449 forms attached, listing the references submitted for consideration. Copies of the cited references were also included. The Information Disclosure Statements were received at the U.S. Patent and Trademark

Office on the dates listed in the first paragraph on page 1 of this document as shown on the returned, date-stamped postcards, copies of which are attached. For the convenience of the Examiner, copies of the Information Disclosure Statements and the cited references filed on the dates listed in the first paragraph on page 1 of this document are enclosed.

An Office Action for this application was mailed on August 23, 2004. However, Examiner Jonathan R. Adams has not returned copies of the PTO-1449 forms indicating consideration of the references cited in the Information Disclosure Statements filed on the dates listed in the first paragraph on page 1 of this document listed.

Accordingly, **Applicants hereby requests confirmation of consideration of the previously filed Information Disclosure Statements.** Examiner Jonathan R. Adams is requested to initial the references listed on the PTO-1449 forms to show that they were appropriately considered during examination of this Application. The Commissioner is hereby authorized to credit any overpayment, or to charge any additional fee required by this paper, to Deposit Account No. 02-0384 of Baker Botts L.L.P.

BAKER BOTTS L.L.P.
Attorneys for Applicants



Christopher W. Kennerly
Reg. No. 40,675

2001 Ross Avenue, Suite 600
Dallas, Texas 75201-2980
(214) 953-6812

Enclosures: Copies of Information Disclosure Statements filed on dates listed in the first paragraph on page 1 of this document with PTO-1449 forms and copies of the cited references;
Copies of acknowledgment post cards showing
date stamps of dates listed in the first paragraph on page 1 of this document



The "Received" stamp of the Patent and Trademark Office imprinted hereon acknowledges the filing of:

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<input type="checkbox"/> New Appln.; C.P.A.; Con.; CIP.; Div.; Provisional; Converted Provisional (Specification, Claims, Abstract)	_____	<input type="checkbox"/> ___ Mo. Extension of Time	_____
<input type="checkbox"/> Bibliographic Data Sheet	_____	<input type="checkbox"/> Notice of Appeal	_____
<input type="checkbox"/> Declaration/power of Attorney	_____	<input type="checkbox"/> Appeal Brief	_____
<input type="checkbox"/> Assignment	_____	<input type="checkbox"/> Issue Fee Transmittal	_____
<input type="checkbox"/> Verified Statement	_____	<input type="checkbox"/> Check No. _____ Amt.: _____	
<input type="checkbox"/> Formal Drawing	_____	<input type="checkbox"/> Check No. _____ Amt.: _____	
<input type="checkbox"/> Informal Drawings	_____	<input checked="" type="checkbox"/> Serial No. <u>09/800,1168</u>	
<input type="checkbox"/> Amendment/Response	_____	<input checked="" type="checkbox"/> Other <u>Information Disclosure Statement w/4 references</u>	

Name of Inventor(s):

Daniel Brown, et al.

Title of Invention:

Computer Security System

Client or Applicant:

i2 Technologies US

BB File No.:

020431.0971

Mailed:

1/8/02

Certificate of Mailing

Express Mail ☒

Due:

1/21/02

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Daniel Brown, et al.
Serial No.: 09/800,168
Date Filed: March 5, 2001
Title: Computer Security System

Honorable Assistant Commissioner
for Patents
Washington, DC 20231

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Applicants respectfully request, pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, that these references listed on the attached PTO-1449 form be considered and cited in the examination of the above-identified patent application. Copies of these references are enclosed for the convenience of the Examiner. No representation is made that a search has been made, that these references are material to the patentability of the present application, or that these references qualify as prior art.

This Information Disclosure Statement is being submitted pursuant to 37 C.F.R. § 1.97(b).

Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than thirty days prior to the filing of this Information Disclosure Statement.

Although Applicants believe no fee is due, the Commissioner is hereby authorized to charge any fee to Deposit Account No. 02-0384 of Baker Botts L.L.P.

Respectfully submitted,

BAKER BOTTS L.L.P.



Christopher W. Kennerly
Reg. No. 40,675
Attorneys for Applicants

CWK/bt

Correspondence Address:
Baker Botts L.L.P.
2001 Ross Avenue, 6th Floor
Dallas, Texas 75201-2980
(214) 953-6812

Date: 1/8/02

PTO-1449	Information Disclosure In an Application	Application No. 09/800,168	Applicant(s) Daniel Brown, et al.
		Docket Number 020431.0971	Group Art Unit 2131
		Filing Date March 5, 2001	

U.S. PATENT DOCUMENTS

	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
A	5,315,657	05/24/94	Abadi et al.	380	25	09/28/90
B	5,748,890	05/05/98	Goldberg et al.	395	188.01	12/23/96
C	US 6,182,124 B1	01/30/01	Lau et al.	709	217	06/10/98
D						
E						
F						
G						
H						
I						
J						
K						
L						

FOREIGN PATENT DOCUMENTS

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
M							
N							
O							
P							
Q							

	DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
R	PCT Written Opinion, 7 pages	21 Dec. 2001
S		
T		

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

U.S. PATENT AND TRADEMARK OFFICE



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<input type="checkbox"/> New Appln.; C.P.A.; Con.; CIP.; Div.; Provisional; Converted Provisional (Specification, Claims, Abstract)	_____	<input type="checkbox"/> ___ Mo. Extension of Time	_____
<input type="checkbox"/> Bibliographic Data Sheet	_____	<input type="checkbox"/> Notice of Appeal	_____
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<input type="checkbox"/> Formal Drawing	_____	<input type="checkbox"/> Check No. _____ Amt.: _____	
<input type="checkbox"/> Informal Drawings	_____	<input checked="" type="checkbox"/> Serial No. <u>091800168</u>	
<input type="checkbox"/> Amendment/Response	_____	<input checked="" type="checkbox"/> Other <u>Information Disclosure</u> <u>Statement & 4 Refs., PTO 1449</u>	

Name of Inventor(s):

Donald S. Brown, et al.

Title of Invention:

Computer Security System

Client or Applicant:

et. Technologies

BB File No.:

020431.0971

Mailed: 7/18/01

Certificate of Mailing
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Receipt No.: EL75710657565

Due: 7/18/01

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Atty/Secy: CWK/bt

Hand Delivered ☐

Receipt Date & Serial No:



BAKER BOTTS, L.L.P.

JUL 30 2001

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Attorney's Docket No.:
020431.0971

PATENT
09/800,168



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Donald S. Brown, et al.
Serial No.: 09/800,168
Date Filed: March 5, 2001
Title: COMPUTER SECURITY SYSTEM

Honorable Assistant Commissioner
for Patents
Washington, DC 20231

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Applicants respectfully request, pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, that these references listed on the attached PTO-1449 form be considered and cited in the examination of the above-identified patent application. Copies of these references are enclosed for the convenience of the Examiner. No representation is made that a search has been made, that these references are material to the patentability of the present application, or that these references qualify as prior art.

Applicants respectfully submit that the claims of this application are patentably distinguishable from these references.

This Information Disclosure Statement is being submitted pursuant to 37 C.F.R. § 1.97(b).

Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Although Applicants believe no fee is due, the Commissioner is hereby authorized to charge any fee to Deposit Account No. 02-0384 of Baker Botts L.L.P.

Respectfully submitted,

BAKER BOTTS L.L.P.



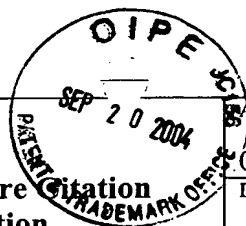
Christopher W. Kennerly
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2001 Ross Avenue, 6th Floor
Dallas, Texas 75201-2980
(214) 953-6812

Date: 7/18/01

PTO-1449



Application No.

Applicant(s)

**Information Disclosure
Citation
In an Application**

09/800,168

Donald S. Brown, et al.

Docket Number

Group Art Unit

Filing Date

020431.0971

March 5, 2001

U.S. PATENT DOCUMENTS

		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	A						
	B						
	C						
	D						
	E						
	F						
	G						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	H							
	I							
	J							
	K							
	L							

		DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
	M	R. Sant'Angelo, "Windows NT 4® Administrator's Survival Guide," Copyright © 1997 by Sams Publishing, Index, Table of Contents (3 pages), pps. 130, 191, 193-209, 213-218, 253-260, 279-281, 407, 417-418, 422, 503, 528-532, http://emedia48.netlibrary.com/api-bin/...234.nfo&record={1625}&softpage=Document	May 17, 2001
	N	G.W. Kadnier, "Windows NT 4: The Complete Reference," Osborne/McGraw-Hill © 1997, Table of Contents, Chapter 16: NT 4 Security, 5 pgs., NT 4 Security, Bare to the Bone 7 pgs., NT 4 Security, The NT Miranda—"You Have the Right To...," 1 pg., NT 4 Security, NT C2-Level Security, 1 pg., NT 4 Security, Controlling Security and Users: The NT User Manager, pps. 1-6, 8 http://library.books2x7.com/toc.asp?bkid	May 18, 2001
	O	B. Sosinsky, "Windows NT 4 Answers! Certified Tech Support," Osborne/McGraw-Hill © 1998, Synopsis and Table of Contents, Back Cover, NT 4 Answers! Certified Tech Support, Working With The Security Model @ a Glance, 1 pg., NT 4 Answers! Certified Tech Support, The Security Database, 1 pg., NT 4 Answers! Certified Tech Support, Users, Groups, and Domains, 6 pgs., NT 4 Answers! Certified Tech Support, User Profiles, 4 pgs., System Policies, pgs. 1, 1-4 http://library.books2x7.com.toc.asp?bkid=406	May 17, 2001
	P	International Searching Authority, Notification of the Transmittal of The International Search Report, 7 pgs.	June 18, 2001

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

U.S. PATENT AND TRADEMARK OFFICE

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